

BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: D.A. Louis Wallace et al. Attorney Docket No.: SPCI115495

Application No.: 09/842,366 Group Art Unit: 2176

Filed: April 24, 2001

Title: METHOD, SYSTEM, AND APPARATUS FOR  
PROVIDING DATA REGARDING THE OPERATION  
AND MONITORING OF A CONTROL SYSTEM

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT/  
REQUEST FOR EXTENSION OF TIME

Seattle, Washington 98101

October 19, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
- X 2. An Assignment of the invention to Spectrum Controls, Inc. A Recordation Form Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment, along with Our Check No. 132914 for the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- X 4. Small entity status is asserted for this matter.

COMPUTATION OF FEE

	Number Filed.		Number Extra		Rate	
Basic Fee						370
Total Claims	53 - 20	=	33	x	9	= 297
Independent Claims	3 - 3	=	0	x	42	= 0
Multiple Dependent Claim				+	140	140
Surcharge				+	65	65
Extension of Time				+	200	200
TOTAL						\$1072

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

B. Request for Extension of Time

Applicants respectfully request that the shortened statutory period for response to the Notice to file Missing Parts of Nonprovisional Application dated June 21, 2001, set to expire on August 21, 2001, be extended by 2 months, to expire on October 21, 2001. The enclosed check includes the 2-month extension fee of \$200.00.

C. Fees Enclosed

Enclosed is our Check No. 132915 in the amount of \$1,072.00 to cover the requisite fees.

D. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



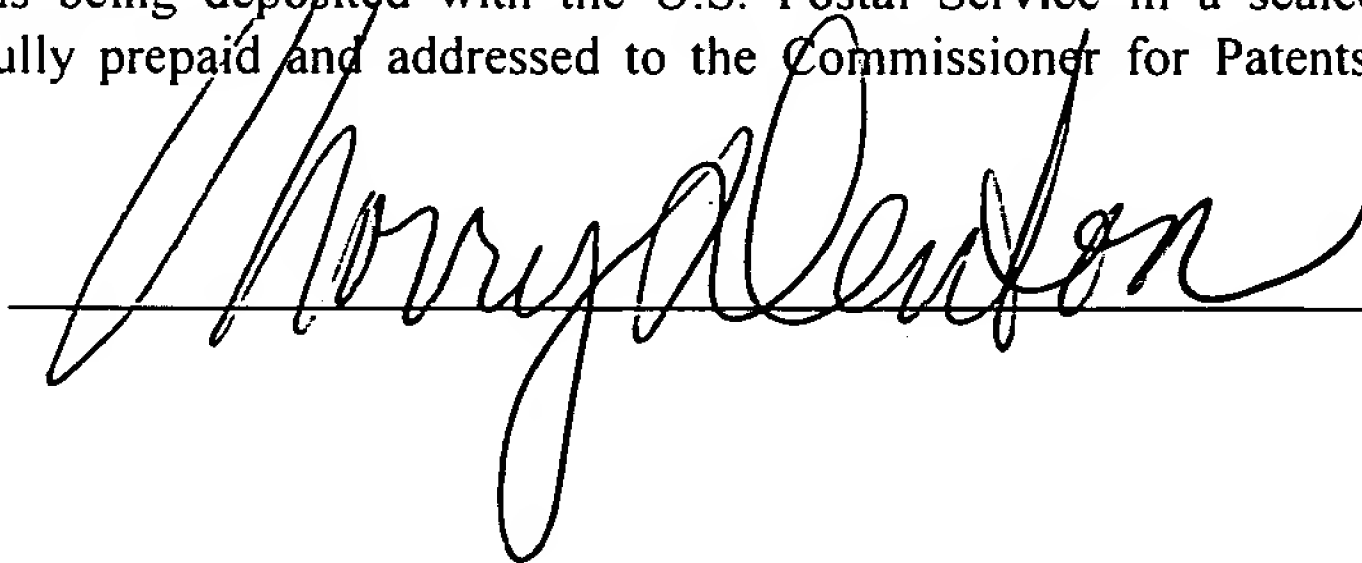
Mauricio A. Uribe  
Registration No. 46,206  
Direct Dial No. 206.695.1728

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date:

October 19, 2001

MAU:md

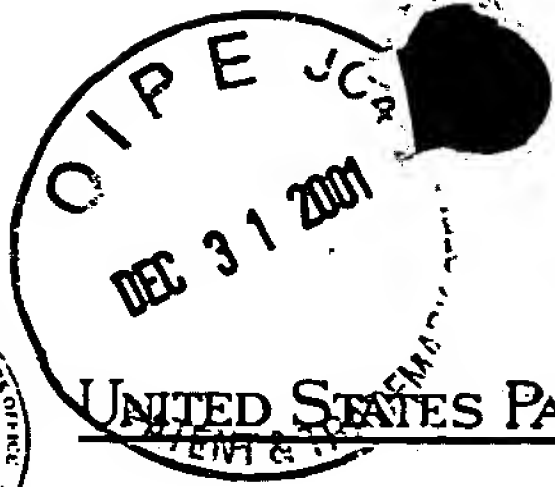


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,366	04/24/2001	Deborah A. Louis Wallace	SPC1115495

26389  
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

CONFIRMATION NO. 6571  
FORMALITIES LETTER  
  
\*OC000000006212936\*

Date Mailed: 06/21/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$864.
  - \$594 for 33 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/03/2002 VTRUONG1 00000071 09842366

01 FC:201	370.00 OP
02 FC:203	297.00 OP
03 FC:204	140.00 OP
04 FC:205	65.00 OP

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